

Time: 2 Hours

Marks: 60

- Note:** 1) All Questions are compulsory.
2) Figures to the right indicate full marks.
3) There is internal choice in each question.

Q.1] Define financial services. Explain features & challenges faced by financial service sector in India. **[15 Marks]**

OR

Q.1] Explain the role of RBI and SEBI in Financial services. **[15 Marks]**

Q.2] Explain the objectives & function of Treasury Management. **[15 Marks]**

OR

Q.2] Explain the term Mutual Fund. Explain the growth and development of Mutual funds in India **[15 Marks]**

Q.3] Explain the Objective of Portfolio Management & Role of Portfolio Manager. **[15 Marks]**

OR

Q.3] Explain the term Consumer Finance & it's types. **[15 Marks]**

Q.4] Fill in the blanks. **[15 Marks]**

1. NPA stands for _____.
 - a. A. Non-Performing Assets
 - b. C. Not Performing Audit
 - B. Non Prefer Assets
 - D. Non Prefer Audit
2. _____ is regarded as the fourth element of the financial system.
 - a. A. Financial Services
 - b. C. Financial Instruments
 - B. Financial market
 - D. Financial system
3. Find the odd one out:
 - a. A. Fund Based Activity
 - b. C. Modern Activities
 - B. Fee Based Activity
 - D. Purchase of Raw Materials
4. The maximum load that a fund can exchange is determined by _____.
 - a. A. SEBI
 - b. C. AMFI
 - B. RBI
 - D. IRDA
5. Identify odd one: _____.
 - a. A. CRISIL
 - b. C. CARE
 - B. ICRA
 - D. ICICI
6. In hire purchase, depreciation can be claimed by the _____.
 - a. A. Hirer
 - b. C. Financiers
 - B. Vendor
 - D. Leasor

7. "EDI" in EDI Factoring stands for _____.
a. A. Economic Deposit Insurance B. Electronic Data Interchange
b. C. Export Division Insurance D. Export Deposit Insurance
8. NAV stands for _____.
a. A. New Asset Value B. Net Asset Value
b. C. Normal Asset Value D. Net Average Value
9. _____ means to provide advice, guidance and service for a fee.
a. A. Reporting B. Consultancy
b. C. Underwriting Service D. Tactful Service
10. Banking sector comes under which of the following sectors?
a. A. Marketing Sector B. Service Sector
b. C. Manufacturing Sector D. Food Industry
11. _____ means artificially pushing up the market price of a particular security.
a. A. Rigging B. Cornering
b. C. Margin Trading D. Push Trading
12. Micro environment for financial services consist of _____.
a. A. Supplier B. Political Environment
b. C. Socio Cultural Environment D. Economic Environment
13. The full form of SIP is _____.
a. A. System Investment Plan B. Systematic Investment Plan
b. C. Systematic Investment Portfolio D. System Inventory Plan
14. A document that either creates a debt or acknowledges, it is known as _____.
a. A. Equity Share B. Preference share
b. C. Debenture D. Warrant
15. The regulatory body for the securities market in India is _____.
a. A. AMFI B. RBI
b. C. SEBI D. UTI

OR

Q.4] Write Short notes on: [ANY THREE OUT OF FIVE]

[15 Marks]

- A. Leasing.
B. Hire Purchase.
C. Merchant Banking.
D. Liquidity Management.
E. Component of Indian Financial System.
