

1. All questions are compulsory.
2. All questions carry equal marks.

**Q1.a) True or False (Any 8)**

(08)

- 1) ASBA is the abbreviation for applied sum for block amount
- 2) A company can buy back its shares from the primary market.
- 3) Secondary market deals with listed securities.
- 4) Financial instruments are those instruments issued by government.
- 5) SEBI provides a degree of protection to the investors and safeguard their rights.
- 6) Book building can be tracked live on BSE/NSE/SEBI website.
- 7) Whenever a company issues new shares or debentures, it is known as IPO.
- 8) Stock exchanges are not visible in nature.
- 9) IPO helps in listing of securities.
- 10) Issuing shares in primary market is mandatory before listing of stock exchange.

**Q1.b) Match the Pairs (Any 7)**

(07)

|                          |                             |
|--------------------------|-----------------------------|
| 1. RBI                   | a) 1935                     |
| 2. T- Bill               | b) Lead Managers            |
| 3. ROE                   | c) Information Document     |
| 4. Merchant Bankers      | d) Fresh Issue              |
| 5. Prospectus            | e) Direct Investor          |
| 6. Primary Market        | f) Capital & Money Market   |
| 7. Banks                 | g) Financial Intermediaries |
| 8. Right Issue of shares | h) Return on Equity         |
| 9. Financial Market      | i) New Issue Market         |
| 10. IPO                  | j) Money Market             |

(15)

**Q2. Answer the following**

- a. Explain Equity market?
- b. What are Shares, explain its classification & characteristics of equity shares.

OR

- c. Explain Primary market?
- d. What is a Merchant Banker. Explain services offered by the merchant banker in primary

market?

(15)

**Q3. Answer the following**

- a. Explain in details IPO and what are the steps of IPO ?
- b. Explain Secondary market?

OR

- c. Define Stock exchange and its features?
- d. Explain the procedure of buying and selling of shares?

PTO

**Q4. Answer the following**

- a. Do's and don'ts in investing of shares market (08)
- b. Need for strengthening equity market? (07)

**OR**

- c. What are the method of raising funds in the primary market? (08)
- d. Explain development of equity culture in India? (07)

- Q5a. What are the functions of stock exchange an explain major stock exchange in India? (08)
- b. Explain in detail what is BOLT (07)

**OR**

**Q5. Short Notes (Any 3)**

- a. OTCI.
- b. Brokers
- c. Book- building
- d. SCORES
- e. Non-voting shares

(15)

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