

1. All questions are compulsory.
2. All questions carry equal marks.

Q1.a) True or False (Any 8)**(08)**

- 1) ASBA is the abbreviation for applied sum for block amount
- 2) A company can buy back its shares from the primary market.
- 3) Secondary market deals with listed securities.
- 4) Financial instruments are those instruments issued by government.
- 5) SEBI provides a degree of protection to the investors and safeguard their rights.
- 6) Book building can be tracked live on BSE/NSE/SEBI website.
- 7) Whenever a company issues new shares or debentures, it is known as IPO.
- 8) Stock exchanges are not visible in nature.
- 9) IPO helps in listing of securities.
- 10) Issuing shares in primary market is mandatory before listing of stock exchange.

Q1.b) Match the Pairs (Any 7)**(07)**

1. RBI	a) 1935
2. T- Bill	b) Lead Managers
3. ROE	c) Information Document
4. Merchant Bankers	d) Fresh Issue
5. Prospectus	e) Direct Investor
6. Primary Market	f) Capital & Money Market
7. Banks	g) Financial Intermediaries
8. Right Issue of shares	h) Return on Equity
9. Financial Market	i) New Issue Market
10. IPO	j) Money Market

(15)**Q2. Answer the following**

- a. Explain Equity market?
- b. What are Shares, explain its classification & characteristics of equity shares.

OR

- c. Explain Primary market?
- d. What is a Merchant Banker. Explain services offered by the merchant banker in primary market?

(15)**Q3. Answer the following**

- a. Explain in details IPO and what are the steps of IPO ?
- b. Explain Secondary market?

OR

- c. Define Stock exchange and its features?
- d. Explain the procedure of buying and selling of shares?

PTO

Q4. Answer the following

- a. Do's and don'ts in investing of shares market (08)
- b. Need for strengthening equity market? (07)

OR

- c. What are the method of raising funds in the primary market? (08)
- d. Explain development of equity culture in India? (07)

- Q5a. What are the functions of stock exchange an explain major stock exchange in India? (08)
- b. Explain in detail what is BOLT (07)

OR

Q5. Short Notes (Any 3)

(15)

- a. OTCI.
- b. Brokers
- c. Book- building
- d. SCORES
- e. Non-voting shares
