

Duration: 2 ½ hours

Marks: 75

Q.1 : State the following statements are true or false. (Any eight)**(08)**

1. Buying and selling of shares can be done through a stock exchange.
2. ISIN is an International Securities Identification Number.
3. In the balance sheet of company, amount due to the suppliers of goods and services brought on credit, will reflect under the head current liabilities and provisions.
4. Equity shares have mostly outperformed all other forms of investment in the long term.
5. Reduction in the share transfer time to the buyer, risk of stolen, fake, forged shares, and stamp duty on transfer of shares in dematerialized form problems have been eliminated by depositories.
6. 9.2 is the current yield if 11.50% bond sells in the market for Rs. 125.
7. American depository receipts, global depository receipt, are the ways by which companies can raise foreign currency resources.
8. An index is a basket of shares.
9. Nifty index is used in derivatives and index funds.
10. Inflation rate and supply of money affect the interest rate.

B) Match the columns. (ANY SEVEN)**(07)**

A	B
1. BOLT	a. National exchange of automated trading
2. NEAT	b. BSE online trading system
3. DEMAT	c. Dematerialization
4. RBT	d. American depository receipt
5. ADR	e. Central bank of India
6. SEBI	f. Securities & Exchange Board Of India
7. OTCEI	g. Established in 1992
8. NSE	h. Over of counter exchange of India
9. BSE	i. Initial public Offer
10. IPO	j. Sensex

Q. No. 2 A) Explain Stock Exchange and its characteristics**(08)****b) Explain the features of Equity markets****(07)****OR****c) List out the salient features of OTCEI****(08)****d) what are different kinds of issues in the primary market****(07)****Q.No 3 a) Explain SEB's Entry norms for Primary Market****(08)****b) Explain the function of Stock Exchange****(07)****OR****c) Explain the role of brokers in a stock exchange****(08)****d) Explain the procedure of buying & selling shares****(07)**

- Q.No. 4 a) Explain the listing of securities requirements as per SEBI (08)
b) Explain the procedure of issue of shares (07)

OR

- c) Explain the process of IPO (08)
d) Explain the role of SEBI in promoting Equity markets (07)

- Q, No.5 a) Explain the Steps the steps to be followed for recognition of stock (08)
exchange in India
b) Explain the role of FDI/ FII in the promotion of equity markets (07)

OR

Q.No. 5 Short Notes any (3) (15)

1. Online trading
 2. BOLT
 3. Dematualization
 4. Demutualization
 5. Delisting of Securities
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