

Note : 1. All questions are compulsory.

2. Figures to the right indicate fill marks.

Q.1 A) Fill in the blanks with appropriate choice. (any 8) (08)

1. \_\_\_\_\_ is paid first in the advent of liquidation of the company.  
a. Debentures b. preference c. equity shares
2. \_\_\_\_\_ Account gives highest interest.  
a. Saving b. current c. fixed deposit
3. Gives the full form of SEBI \_\_\_\_\_  
a. Security exchange board of India.  
b. State electricity board of India.  
c. Services exchange board of India.
4. Securities contract Act came into existence from \_\_\_\_\_  
a. 1946 b. 1956 c. 1966
5. \_\_\_\_\_ Stock exchange is located in delhi.  
a. BSE b. NSE c. LSE
6. \_\_\_\_\_ risk arises due to difference in the exchange value of currency.  
a. Liquidity b. market c. currency
7. Equity share is a form of \_\_\_\_\_ investment.  
a. Financial b. derivative c. legal
8. \_\_\_\_\_ risk arises due to non-convertibility of investment into cash.  
a. Liquidity b. market c. currency
9. Investment made in securities for less than one year is \_\_\_\_\_ investment.  
a. Short term b. medium term c. long term

Q.1 B) State whether the following statements are true or false. (any 07) (07)

1. Risk management is a process of identifying and measuring risk.
2. Risk is caused by the occurrence of a predicated event.
3. Ethical practices are covered under business ethics.
4. Pure risks are known for losses once they occur.
5. Different investment avenues are now available to investors.
6. Risk avoidance is opposite of risk limitation.
7. Corporate governance refers to the way corporation is governed.

8. Hard earned money need to be property invested.
9. Debenture is borrowed capital.
10. Securities are listed with SEBI.

Q.2 A) Explain important terms of security contract Act 1956. (15)

OR

B) Explain listing of securities. (08)

C) Explain different types of contracts in brief. (07)

Q.3 A) Write explanatory note on risk. (08)

B) What is risk management? Explain its classification of risks. (07)

OR

C) Explain the types of risk mitigation. (08)

D) Write a note on concept of risk. (07)

Q.4 A) Explain the need and importance of investments. (08)

B) Explain the meaning of debentures? What are the features of debentures? (07)

OR

C) Explain the elements of investments. (08)

D) Write a note on bank and credit union. (07)

Q.5 A) Write a note n financial literacy? Why financial literacy is important? (08)

B) Distinguish between saving VS investing. (07)

OR

Q.5 Short notes (any 3) (15)

1. Risk evaluation
  2. Three line defence.
  3. Bank products.
  4. Gold ETF's
  5. Components of financial literacy.
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