

Note: 1. All questions are compulsory.

Q1 A) Fill in the Blanks (Any 8).

08

1. Many stock exchanges are interconnected globally allowing _____ trading and investment opportunities
(Cross-Border , Investors , Trading)
2. The Prices of securities in the Secondary market are influenced by _____ and demand factors. (Trading ,Supply ,SEBI)
3. Investment income means returns earned from _____.
(Investment, Loss, profile)
4. _____ regulates various market intermediaries Such as brokers, mutual funds, portfolio manager and Investment advisor.
(Investors ,RBI ,SEBI)
5. GDRs Stand for Global _____ receipt.
(Depository , Document, Dispatch)
6. Equity shares, also known as common shares or _____.
(Ordinary shares, bonds, binary shares)
7. In the stock market, the term _____ refers to a specific type of account
(bond ,price ,margin)
8. Mutual funds pool _____ from multiple investors and invest in a diversified portfolio.
(money, shares, equity)
9. ADRs are regulated by the _____ Securities and Exchange Commission.
(US, IND ,bang)
10. "Book closure" and "& _____" are terms related to the management.
(record time ,record date, record month)

(B) State whether the following statements are True or False (Any 7).

07

1. Stock exchange is a centralized marketplace.
2. Margin trading carries a higher level of risk compared to trading.
3. ADR stand for American depository receipt
4. Indian capital market plays a crucial role in the economy by facilitating the flow of funds between investors and entities in need of capital for business expansion
5. The BSE and NSE are the two major stock exchanges in the country
6. Investors can access their demat accounts online, enabling them to monitor their holdings and transactions in real-time
7. The full form of ETP Is Exchange trend Funds
8. The full form of GDRs is Global Depository Receipts.
9. Effective management of cash inflow is crucial for maintaining liquidity.
10. Wages is an example of operating expenses.

Q2 A.* Write some features of collective investment Scheme 1999.

08

- B. What are the eligibility for appointment of trustees.**

07

OR

C. What is the procedure for launching CIS. 08

D. Write a note on unit certification and transfer of unit. 07

Q.3 A. State the significance of stock exchange 08

B. What is margin trading & what are significance of it. 07

OR

C. Write a note on book closure & record date. 08

D. Explain stock exchange and it's functions 07

Q4.A. Explain features of developed capital market. 08

B. Explain capital market instruments 07

OR

C. Distinguish between FCCB & FCBE 08

D. Write a note on factors influencing rating of instruments. 07

Q.5 Explain Indian market briefly. 15

OR

Q5, Short Notes (Any Three) 15

1. What is the procedure for launching CIS.

2. Write a note on termination of trusteeship

3. Function of Stock exchange

4. What is turn over in stock market.

5. Types of Depository receipt