

NOTE : - ALL QUESTIONS ARE COMPULSORY.

Q.1.A) State whether the following statements are True or False.(Any 8)

(08)

- 1) SEBI stand for securities exchange Board of India.
- 2) Diversification is help to reduce risk.
- 3) In mutual Fund investments there is no guarantees of return.
- 4) Ultra short bonds are long term quest securities
- 5) ICRA is credit rating agency.
- 6) The Sponsor is not custodian of the assets of the fund.
- 7) KYC is mandatory for investors.
- 8) Exit load is know as front load.
- 9) Dividend is always calculate on face value.
- 10) Balance fund is also called as Hybrid Fund.

Q.1.B) Fill in the blanks. (Any 7)

(07)

- 1) Unit Trust of India started its operations in the year _____.
a) 1961 b) 1962 c) 1964
- 2) In growth option initial investment _____ over the period of time.
a) Compound b) Remain state c) Reduces
- 3) Bond pay periods _____ called as coupon
a) Payment b) Capital c) Growth
- 4) Any fund which invests 65% or more in equity is called _____.
a) Debt fund b) Assets fund c) Equity fund
- 5) The sponsor is the _____ of mutual fund.
a) Trustee b) AMC c) Promoter
- 6) An _____ fund is available for repurchase on a continuous basis.
a) Open –ended b) Close-ended c) Internal
- 7) _____ plays important role in ensuring the mutual fund investor protection.

- a) SEBI b) RBI c) IRDA

8) The _____ is a way to invest in mutual fund regularly.

- a) SIP b) SWP c) STP

9) Bond are _____ term debt securities.

- a) Short b) Medium c) Long

10) _____ option does not re – invest the profit made by the fund.

- a) Growth b) Dividend c) Portfolio

Q.2.A) Explain the disadvantages of mutual fund. (08)

Q.2.B) Explain the objectives of AMFI. (07)

OR

Q.2.C) Explain steps Taken by SEBI for regulating mutual funds in India. (07)

Q.3.A) Explain the types of mutual fund. (08)

Q.3.B) What are the advantages of SIP & SIP. (07)

OR

Q.3.C) Opening NAV Rs.16.6720 (08)

Outstanding Units 100000

Appreciation in Portfolio Rs.5,00,000

Unit subscribed 20,000 units

Unit redeemed 10,000 units

Dividend received Rs.10,000

Assume sale & repurchase NAV Rs.16.6720

Q.3.D) The unit of "Deva" scheme of a mutual fund is Rs 10. Public offer is made for Rs. 10.204 and redemption price is Rs. 9.80. (07)

Calculate 1) Entry Load 2) Exit Load

Q.4.A) Explain the criteria for selecting mutual fund. (08)

Q.4.B) Explain the various basis of ratings. (07)

OR

Q.4.C) Write note on benchmarking. (08)

Q.4.D) Explain the process of building model portfolio. (07)

Q.5.A) What is financial planning ? Explain the importance of it.

(08)

Q.5.B) Explain the need for financial advisor

(07)

OR

Q.5.C) Write short notes (Any 3)

(15)

a) Bond Valuation

b) Bank FD's V/S mutual fund

c) Balanced Fund

d) Role of SEBI in ethics

e) Entry load & Exist Load.

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