

NOTE: I) All questions are compulsory
II) Figures to the right indicate full marks.
III) Draw diagrams wherever necessary.

Q.1 A) State whether the following statements are true or false (Any Eight) (08)

1. SEBI is regulating Body of Banks.
2. Equity shares are also called preference shares.
3. Equity Share holders are owners of the company.
4. Debenture holders are creditors of the company.
5. Swap is not a Derivative.
6. Derivatives are traded on Nifty.
7. Margin amount is fixed by stock Exchange.
8. In F C E B, E refers to exchangeable.
9. ADRs issued by foreign companies.
10. Units refers to Mutual funds.

Q.1 B) Match the Column (Any Seven) (07)

Column A	Column B
1. NSDL	1.Capital Market
2. RBI	2.Dividend
3. SEBI	3.Hybrid Instrument
4. Equity Shares	4.Banks
5. Preference Shares	5.Depository
6. Spot	6.Foreign Currency Convertible Bonds
7. MTM	7.2013
8. FCCB	8.SEBI Collective Investment Scheme
9. Companies Act	9.Mark to Market
10. 1999	10.type of delivery

Q.2 A) Discuss the various authorities governing capital market in market in India (08)

B) Discuss the features of Developed Capital Market (07)

OR

C) Discuss the factors ,scope ,and significance of Rating financial Instruments (15)

Q.3 A) Discuss the functions and Trading mechanism of stock exchanges (15)

OR

B) Discuss various types of Margins (08)

C) State different types of Delivery (07)

Q.4 A) Briefly discuss the SEBI (Collective Investment schemes) Regulations,1999 (15)

OR

Q. 4 B) Explain ADR, in detail (08)

C) Discuss the procedure for issuance of GDRs (07)

Q.5 A) Define Derivatives. Explain the types of Derivatives in detail (15)

OR

Q.5 B) Write short-notes on (Any Three) (15)

1. Debentures
2. Equity Shares
3. FCCB
4. MFs
5. SEBI