VCD | 19 | 11 | 19 | FYBIM Financial Intermediaries | SEM II |Oct 2019 | 75 Marks | 2.5 hrs

Note:- All questions are compulsory.

| | | (00) |
|------------------------------|--|---|
| A) State whether the fol | lowing statements are true or false. (Any Eight) | (08) |
| Financial intermediaries | connect Buyers and Sellers. | |
| Banks are not intermedia | ries. | |
| Brokers are one type of in | ntermediaries. | |
| NABARD is Apex Bank | in Agricultural assistance. | |
| Interest Risk arise due to | fluctuations in Interest Rate. | |
| Insurance Industry is con | trolled by SEBI. | |
| Merchant Banking is one | kind of financial services. | |
| | | |
| . Mutual Funds are suitabl | e for middle class Investors. | |
| 0. Registration of Brokers v | vith SEBI, is compulsory. | |
| | | (07) |
| B) Match the column. | Any Seven) | (07) |
| A | <u>B</u> | |
| PRI | a. Agricultural assistance | |
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| | | |
| 0. NBFC | j. Small Industries | |
| A) Discuss the role and | functions of Financial Intermediaries. | (15) |
| | OR | |
| B) Explain the need for | financial Intermediaries in financial system. | (15) |
| A) Explain the features | and principles of Insurance. | (15) |
| | OR | |
| R) Discuss various sche | me of Mutual Funds. | (08) |
| C) Analyse the function | s of NABARD as a financial intermediary. | (07) |
| | Financial intermediaries of Banks are not intermediar. Brokers are one type of in NABARD is Apex Bank. Interest Risk arise due to Insurance Industry is confident Banking is one D-MAT refers to physical Mutual Funds are suitable. Registration of Brokers with B) Match the column. A RBI SEBI NABARD Mutual Fund Intermediary SIDBI Merchant Banking Insurance NSDL NBFC A) Discuss the role and the B) Explain the need for the A) Explain the features are all the suitable and the suitable by Explain the features are all | Banks are not intermediaries. Brokers are one type of intermediaries. NABARD is Apex Bank in Agricultural assistance. Interest Risk arise due to fluctuations in Interest Rate. Insurance Industry is controlled by SEBI. Merchant Banking is one kind of financial services. D-MAT refers to physical form of shares. Mutual Funds are suitable for middle class Investors. Registration of Brokers with SEBI, is compulsory. B) Match the column. (Any Seven) A B RBI RBI A. Agricultural assistance b. Open ended c. Broker Mutual Fund d. Regulator of Capital Market e. Banker's Bank SIDBI Merchant Banking g. Depository h. Non- Banking Insurance h. Non- Banking NSDL i. Loan syndication j. Small Industries A) Discuss the role and functions of Financial Intermediaries. OR B) Explain the need for financial Intermediaries in financial system. A) Explain the features and principles of Insurance. OR |

| Q4) | A) Elaborate Credit Risk and Interest Rate Risk. | |
|----------------------|---|------|
| | OR | |
| Q4) | B) Discuss the techniques of performance measurement. | (08) |
| | C) Write a note on D-MAT. | (07) |
| Q5) | A) Explain Liquidity Risk and its types. | (08) |
| | B) Critically analyse the transaction cost. | (07) |
| | OR | |
| Q5) S | hort Notes. (Any Three) | (15) |
| 1. 2. 3. 4. | SIDBI NBFC Financial advisors Pension Funds | |
| 5. | Asset- Liability Management | |
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