

Note:- All questions are compulsory.

Q1) A) State whether the following statements are true or false. (Any Eight) (08)

1. Financial intermediaries connect Buyers and Sellers.
2. Banks are not intermediaries.
3. Brokers are one type of intermediaries.
4. NABARD is Apex Bank in Agricultural assistance.
5. Interest Risk arise due to fluctuations in Interest Rate.
6. Insurance Industry is controlled by SEBI.
7. Merchant Banking is one kind of financial services.
8. D-MAT refers to physical form of shares.
9. Mutual Funds are suitable for middle class Investors.
10. Registration of Brokers with SEBI, is compulsory.

B) Match the column. (Any Seven) (07)

- | <u>A</u>            | <u>B</u>                       |
|---------------------|--------------------------------|
| 1. RBI              | a. Agricultural assistance     |
| 2. SEBI             | b. Open ended                  |
| 3. NABARD           | c. Broker                      |
| 4. Mutual Fund      | d. Regulator of Capital Market |
| 5. Intermediary     | e. Banker's Bank               |
| 6. SIDBI            | f. Utmost good faith           |
| 7. Merchant Banking | g. Depository                  |
| 8. Insurance        | h. Non- Banking                |
| 9. NSDL             | i. Loan syndication            |
| 10. NBFC            | j. Small Industries            |

Q2) A) Discuss the role and functions of Financial Intermediaries. (15)

OR

Q2) B) Explain the need for financial Intermediaries in financial system. (15)

Q3) A) Explain the features and principles of Insurance. (15)

OR

Q3) B) Discuss various scheme of Mutual Funds. (08)

C) Analyse the functions of NABARD as a financial intermediary. (07)

**Q4) A) Elaborate Credit Risk and Interest Rate Risk. (15).**

**OR**

**Q4) B) Discuss the techniques of performance measurement. (08)**

**C) Write a note on D-MAT. (07)**

**Q5) A) Explain Liquidity Risk and its types. (08)**

**B) Critically analyse the transaction cost. (07)**

**OR**

**Q5) Short Notes. (Any Three) (15)**

1. SIDBI
2. NBFC
3. Financial advisors
4. Pension Funds
5. Asset- Liability Management

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