

Maximum Marks: 75

Duration: 2 Hours & 30 Minutes

Note: 1) All questions are compulsory, Subject to internal choice.

2) Figures to the right indicate full marks.

Q1a. Fill in the blanks (any 7)

8

Marks

1. The external factor that affects the industry as a whole is termed as _____ risk, in capital market analysis.
2. _____ is an amount borrowed or lent on demand for a very short period.
3. If the forward exchange rate quoted is exact equivalent to the spot rate at the time of making the contract the forward exchange rate is said to be at _____.
4. _____ is primarily done in two different ways to make profit from the future market.
5. _____ is the function of financial system.
6. _____ gives definition of stock exchange.
7. SEBI was established in the year _____.
8. _____ is the function of financial system.
9. _____ are willing to make or take physical delivery because they are producers or users of the company.
10. SIDBI stands for _____.

Q1B. State whether true or false (any 8)

7

marks

1. Capital and Money market are one and the same.
2. Call Money market is also known as inter bank loan market.
3. Currency based derivatives are complex financial instruments that are "derived" from the underlying currency exchange rate.
4. Commodity futures traders are required to deposits high margins.
5. Livestock Risk Protection (LRP) World like a call option.
6. Basis = Cash price - future price.
7. Speculators handle transactions on a commission basis for their brokers.
8. The Trades relating to the rolling settlement are settled on T+1 day basis.

9. SEBI does not help in prohibiting insider trading in securities.
10. CDSL refers to central depository Securities limited.

Q2. 1) Explain the functions of financial system.
marks

8

2) What are the characteristics of Indian Financial services?
marks

7

Or

- 1) Distinction between Primary market & secondary market.
marks

15

Q.3 1) Explain about various types of Exchange rates.

8 Marks

2) What are the various participants of Capital Markets?

7 Marks

Or

- 1) Explain Advantages and Limitations of Money Markets.
Marks

15

Q4. 1) Distinction between forward contract and future contract.
marks

8

2. Who are the members involved in stock exchange?

7 marks

Or

1. What are the advantages and disadvantages of derivatives
marks

15

Q5. 1. What are the categories of shares traded in BSE and NSE?

8 marks

2. What is derivatives and explain the need of derivatives

7 marks

Or

Q5. Write short notes on: Any 3

15 marks

1. Bombay stock exchange
2. Companies act 1956
3. Functions of SEBI
4. Secondary market
5. MCX