Q.P. Code :34626

[Marks:75]

		Р	lease check whether you have go	t the rigl	nt question paper.	2, 2, 4, 6, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,					
	N.B: 1. All questions are compulsory. 2. Figures to the right indicate full marks.										
				ila ila							
				0,76		5,80,000					
Q.1 a)	State whether	r True	or False (any 8)	A SO		08					
1.	One Person Companies were introduced by the Companies Act, 2013.										
2.	The accounts of SEBI are audited by the Comptroller and Auditor General of India.										
3.	The maximum number of members of a public limited company are 200.										
4.	Options and swaps are not examples of derivatives.										
5.	Capitalisation of reserve is called Bonus issue.										
6.	The offer document must not be accompanied by the application form.										
7.	The SEBI enjoys the powers of a civil court.										
8.	Stamp duty provisions are same in the case of Demat transactions.										
9.	Checking availability of name is essential before the process of Incorporation.										
10.	Registration of	certific	ates are compulsory for intermediari	es.							
	-				5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						
b)	Match the fol	llowing	g (any 7)	一个一个		07					
			Group A	10 P. 1	Group B						
		1.	Ashbury Railway Carriage case	a)	Government publication						
		2.	Memorandum of Association	b)	Replacement						
		3.	Developing markets	(c)	1992						
		4.	Depositories Act	(d)	Electronic form						
		5,	Fungibility	e)	Market makers						
		6.	SEBI	f) -	1996						
		\$7.	De-mat	g)	Object clause						
	<u>.</u>	8.	Official Gazette	h)	Doctrine of ultra vires						
	A S	9.6	Right issue		1956						
	200 D	1612 16 7 1	SCRA	(c)	Existing shareholders						
	80 80 8V	STA		(X)O							
	3 K D 3 5	800		V.							
Q.2	Explain the s	alient	eatures of company form of organiza	ation.		15					
		500	OR								
a)	Introduce the	SEBI	act and enumerate its objects.			08					
b)			ions regarding Finance, Accounts an	d audit of	SEBI? Explain briefly.	07					
JAN A					-						
Q.3	Write a detailed note on power of function of SEBI.										
			OR								
a)		' A U 4.7	lication and grant for recognition on	a stock e	xchange.	08 07					
(b)	Write a note	on the	e advantages of listing								
SA TOS		A K.				15					
Q.4	Explain the constituents and working of Depositories.										
3,25,00	A CONTRACTOR		OR								
a)		- ~ ~ ~	of depositories to make bye-laws.			08					
(b)	Write a note	on NS	DL.			07					

[Time: 2:30 Hours]

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				0000	X,O, &	1039 0V 10	4. (D. C.)	10 (D)
Q.5 a)	Write a	a detailed note on-		N. W. C.	25 5 Z			15
	i) Ultra	a virus	697	J. V. V.	030	KO83	SON DE	\$200 C
	ii) Indo	oor Management		35.47		8 K S		2 K
		nstructive notice				10 00 V	30000	25 27
	,	OR					8 6 6 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	25 CS /
				4. (E. E.)	333		S S S S S S	
Q.5b	Write S	Short notes on (Any 3)		DE AND		9700	1 C C C	15
	1.	One person company			7,12,15	300		000
	2.	Underwriting	30000		0 P		1001/2	
	3.	Articles of Association	BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB				337	Y TO
	4.	Benefits of depositories to Investors	2 2 2 2 2 2 2 2 2 Z	100 V		ON POTON		ŷ,
	5.	Registration certificate for intermediates		4 7 C	100	S O A	1 (S) (S)	
		******	****	NOT AN	5000		N. C. C.	
				\$ 17 T	N. W.	1,45	J.O.F.	