[Time: $2\frac{1}{2}$ Hours] **[Marks:75]**

Please check whether you have got the right question paper.

N.B:
1. All Questions are compulsory.
2. Figures to the right indicate full marks.
3. Answer each question on a new page.

Q. 1 a) Explain the Overview of Indian Securities market. **(08)**
b) Explain the profile of an Indian Investor. **(07)**

OR

c) Explain the various avenues available for Investments to an Indian Investor. **(08)**
d) Explain the rules of financial planning of an Indian Investor. **(07)**

Q. 2 a) Explain the Problems faced by retail Investors. **(08)**
b) Explain the concept of regulation and benefits of Regulation. **(07)**

OR

c) Explain the case of vanishing companies in India. **(08)**
d) What is an IPO? State the reasons for listing an IPO. **(07)**

Q. 3 a) Explain the classification of issues made by an Indian Company. **(08)**
b) Explain the power bestowed upon SEBI in controlling Securities market. **(07)**

OR

c) What are the benefits of opening a Demat account for Investors? **(08)**
d) State the advantages to companies and Investors of listing of Securities on a Stock Exchange. **(07)**

Q. 4 a) Explain the term Depository. What are the benefits of Depository systems? **(08)**
b) Explain the role of IRDA in regulating the Insurance Business in India. **(07)**

OR

c) Discuss the various Economic offences occurred through IPO scams. **(08)**
d) What are the function of RBI? **(07)**

Q. 5 Write Short Notes on **(Any Three)** **(15)**
a) Money laundering
b) Insider Trading
c) Economic offense
d) Department of Company Affairs
e) Forward market Commission
