

6/04/15

TyBFM Sem V (75.25)
Sub:- Regulation of Security Market

QP Code : 11013

(2 ½ Hours)

[Total Marks : 75

- N.B : (1) All questions are compulsory.
(2) Figures to the right indicate full marks.
(3) Write each answer on a New Page.
(4) Illustrate your answer with examples wherever necessary.

1. (a) Discuss the growth and development of Indian Securities market since past decade. 8
(b) Enumerate the merits of listing securities on stock exchange. 7
OR
1. (a) Define Investment. Enlist the possible investment avenues available to an Indian investor. 8
(b) "Demat system is a boon to all stakeholders concerned". - Comment 7
2. (a) "Regulation of financial market is a must" Comment 8
(b) Compare Retail and institutional investors in detail. 7
OR
2. (a) What is IPO? Explain the procedure involved in IPO issue. 8
(b) Define Vanishing Companies. List few vanishing companies of nineties. 7
3. (a) Discuss the role of SEBI in capital market. 8
(b) Give an over view of Depository Act, 1996. 7
OR
3. (a) Explain the functions and powers of IRDA. 8
(b) Briefly describe the various 'Regulatory authorities' governing financial market in India. 7
4. (a) Explain Demutualization and Corporatization of stock exchange. 8
(b) What is Buy Back of Securities? Discuss the provisions relating to Buy Back of securities. 7
OR
4. (a) Describe 'Prospectus' under the Companies 1956? 8
(b) What are the functions of RBI. 7
5. Write notes on any three :— 15
(a) Derivatives
(b) Forward Market Commission
(c) Department of Economic Affairs
(d) Economic offence
(e) Insurance Act, 1938.