

**Duration : 2.5 hours****Marks : 75**

- N.B.: 1. All questions are compulsory.  
2. Figures to the right indicate marks.

**Q1. A. Match the following: (Any 8)****8 Marks**

	Column A		Column B
1	NSCCL	A	Speculator
2	Law Governing Companies in India	B	Nifty
3.	Prospectus	C	Companies Act
4.	Macro Economic Factor	D	Based on financial performance and ratios
5.	Fundamental Analysis	E	T+2
6.	Dividend Payout	F	Executes Trades on clients behalf
7.	Settlement Cycle	G	Percentage of Dividend Paid
8.	NSE	H	Settles trade for NSE
9.	Risk Taker	I	Government Interference
10.	Broker	J	Offer Document

**B. State whether True or False: (Any 7):****7 Marks**

- Contract note is also known as bought note or sold note.
- RBI came into existence on 1<sup>st</sup> April 1935.
- Stock market quotation consists of a bid price and an ask price.
- There are 13 clearing banks of the NSE.
- A bull market means market in upward trend.
- Under multiple growth model, changing growth rates are applied to different time period.
- The Random walk theory is not based on the efficient market hypothesis.
- According to head and shoulders pattern, shares will go up when it is observed.
- According to technical analysis "history repeats itself".
- The perpetual jiggling of the particles is now called as Brownian motion.

**Q2. (A) Explain the advantages of FDI****8 Marks****(B) State the role of SEBI in regulating Indian capital market.****7 Marks****OR****C. Explain Volatility Index VIX. What are the causes of Volatility?****8 Marks****D. Explain the types of issue of shares in Indian capital market.****7 Marks****Q3. A. What is Fundamental Analysis? What are its strengths and weaknesses?****8 Marks****B. Explain in detail industry analysis.****7 Marks****OR****C. Explain the IPO Process in detail****8 Marks****D. Explain the macro economic factors.****7 Marks**

- Q4. A. Explain the Brownian Motion and its limitations. 8 Marks  
B. Explain the three forms of the Efficient Market Hypothesis. 7 Marks

OR

- C. Explain the stochastic models in equity markets. 8 Marks  
D. Explain the concept of Beta in the equity markets. 7 Marks

- Q5. A. Explain brokers and their duties. 8 Marks  
B. Explain in detail about 'stock market quotations. 7 Marks

OR

- Q5. Write short notes on: (Any three) 15 Marks  
a. Retail investor  
b. NSCCL  
c. Disinvestment  
d. FII  
e. BSE BOLT

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