

Q 1 A) State whether the following statements are true or false (any eight) (8)

1. Equity shares are also known as ordinary shares
2. Equity share capital is not owned capital
3. Primary market is market of new shares
4. ESOP cannot be issued to promoters
5. Red Herring prospectus is mandatory document
6. Stock exchange is an important constituent of Capital market
7. London stock exchange is newly established
8. Depositories hold shares for investors in electronic form
9. NSDL is not a depository
10. NSE established in the year 2000

B) Match the column (any seven) (7)

- | A | B |
|---------------------------|-----------------------------------|
| 1. BSE | a) 1992 |
| 2. NSE | b) 1999 |
| 3. OTCEI | c) 2001 |
| 4. Vanishing company scam | d) NIFTY |
| 5. Ketan Parekh scam | e) 1887 |
| 6. BSE SENSEX | f) March 1995 |
| 7. Lahore stock exchange | g) Jobber |
| 8. BOLT | h) 2008 |
| 9. Independent Broker | i) 1934 |
| 10. ASBA | j) 1 st January, 1986 |

Q 2 A) Discuss the various types of players in financial market (8)

B) Explain the features of Equity shares (7)

OR

Q 2 C) What are the advantages of the separation of ownership and management? Discuss briefly. (8)

D) Explain the culture of Equity Markets in India (7)

Q 3 A) State the features of primary market (8)

B) Write a note on ASBA with its features (7)

OR

Q 3 C) Discuss the steps in IPO (8)

D) State the functions of stock exchange (7)

Q 4 A) Discuss the regulators of secondary market (8)

B) Explain various types of orders (7)

OR

Q. 4 C) Explain the three types of accounts to be opened to start investing in stock market (8)

D) Explain the Free Float with examples (7)

Q 5) Short notes (any 3) (15)

1. Stock indices
2. Arbitrage
3. Listing
4. IDRs
5. NIFTY