

Q.1 a) Match the column (any 8)

- A
1. Equity
 2. Money Market
 3. ESOP
 4. IPO
 5. Prospectus
 6. Merchant Bankers
 7. Unorganised Market
 8. Venture Capital
 9. T- Bills
 10. Stock Market

- B
- a. Risky Capital
 - b. Trading Equity instruments
 - c. Short term market
 - d. Employee stock option plan
 - e. Indigenous bankers
 - f. Lead managers
 - g. Information documents
 - h. Owned capital
 - i. Fresh issue
 - j. Money market

b) Choose the correct alternative

1. _____ is mainly used by the banks to meet their temporary requirements of cash
(short money, call money, put money, Financial instruments)
2. The cost of equity shares basically depends upon the _____ of the equity shareholders.
(Expectations, reality, tax, dividend)
3. SEBI got merged with FMC on _____.
(20 September 2015, 22nd September 2015, 28 September 2015, 21st September 2015)
4. _____ is a popular instrument for financing working capital requirements of companies.
(commercial paper, certificate of deposit, ADR, GDR)
5. Foreign currency convertible bonds FCCBS's are known as _____.
(Euro issue, dollar issue, convertible credit securities, convertible credit bonds)
6. _____ is a person who act as an intermediary between a buyer or seller in the market.
(floor broker, broker, dealer, merchant banker)
7. Whenever a company issues new shares or debentures it is known as _____.
(IPO, FPO, share, debenture)
8. The primary market details in new financial claim therefore it is called _____.
(capital market, new issue market, financial market, stock exchange market)
9. _____ is a popular instrument for financing working capital requirements of Companies.
(certificate of deposit, commercial paper, equity shares, debentures)
10. _____ market is example of secondary market in India
(IPO, secondary market, stock exchange, financial market)

Q. 2	a) Elaborate money markets and its instruments	08
	b) What are equity shares? Explain its features	07
	OR	
	c) Explain the types of equities	08
	d) Which factors are responsible for the growth of capital market	07
Q. 3	a) Elaborate IPO process	08
	b) Explain functions of stock exchange	07
	OR	
	c) Explain GDR and ADR with its advantages	08
	d) What are the various methods of raising funds in primary market	07
Q.4	a) Discuss the listing of securities	08
	b) Explain the needs to attract more investors towards equity	07
	OR	
	c) Distinguish between primary market and secondary market	08
	d) Explain the role of stock exchange in secondary market along with its features	07
Q.5	a) Explain in detail about the balance sheet model	08
	b) Write a note on NSE	07
	OR	
	c) Write short notes (any 3)	15
	1. FCCB	
	2. SEBI	
	3. Features of equity shares	
	4. Rolling settlement	
	5. Red Herring Prospectus	