

VCD 9/10/19

Q. 1 a) Fill in the blanks with appropriate options (any 8)

08

1. The oldest approach to common stock selection is _____.
(fundamental analysis, value analysis, technical analysis)
2. Declining interest rates often send Markets _____.
(higher, lower decrease)
3. The _____ is based on the recent certainty in price Momentum or the belief that rising price tend to continue to rise and falling prices tend to continue to fall.
(filter rule, run test, serial correlation test)
4. _____ allows a trading member to get immediate market information on any desired security. (Tiker, snap quote, auction market)
5. _____ settles the traders executed at NSE
(NSDL, CDSL, NSCCL)
6. _____ are the dealers in securities in stock exchange who purchase and sell securities on their own names. (jobbers, bears, sub brokers)
7. _____ introduced the screen based trading and settlement system supported by a state of the art technology platform. (BSE, NSE, B O L T)
8. Reserve Bank of India came into existence on _____. (1940, 1942, 1935)
9. The oldest approach to common stock selection is _____.
(fundamental analysis, technical analysis, random walk analysis, value analysis)
10. During boom conditions the share price would be at _____.
(lowest, moderate, peak)

b) State whether the following statements are true or false (any 7)

07

1. The traders rating to the rolling settlement are settled on t plus one day basis
2. A professional clearing member is a clearing member who is also a trading member
3. Settlement day would mean t plus today
4. Run Test for randomness is a statistical test that is not used to know the randomness in data
5. A stock price in an efficient market provides investors with a good measure of any firm's performance and its value
6. Investors interest are very much protected by the stock exchange
7. Companies Act 2013 replaced Companies Act 1956
8. The line chart is the type of chart which provides cluttered, difficult to understand view of securitie's price.
9. During depression share prices would be at their highest point
- 10 Instruments are called as non convertible debentures

- Q.2 a) Note down the various achievements of SEBI 08
b) Explain various functions of equity markets 07

OR

- c) Elaborate the role of RBI in maintaining financial stability in economy 08
d) State various participants involved in equity markets 07

- Q. 3 a) Explain the role of macro-economic factors in share prices fluctuations 08
b) Which are the various factors responsible for affecting share price 07

OR

- c) What are the various indicators used in fundamental analysis 08
d) Write a note on IPO 07

- Q.4 a) What are the functions of stock exchanges 08
b) Describe Brownian motion role in financial market 07

OR

- c) Explain the procedure for dealing at Stock Exchange 08
d) State the difference between order driven and quote driven markets 07

- Q. 5 a) Explain in detail role and registration procedure involved for selection of a broker 08
b) Explain role of depositories in clearing system 07

OR

c) Write short notes (any three)

1. Resistance and support
2. Balance sheet model
3. Functions of SEBI
4. Powers of SEBI
5. NSCCL